



Schleswig-Holstein State of Germany

Coordinates: Q 54°28'12"N 9°30'50"E

Kiel

Germany

4 (of 69)

15.763.18 km²

2,815,955

(6,086.20 sq mi)

180/km² (460/sq mi)

Torsten Albig (SPD)

SPD / Greens / SSW

Country

Capital

partiesVotes in

Government

Governing

Bundesrat Area

Population (2013-12-31)[1]

Total

Total

Density

Minister
 President

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Setting of ULD

- Data Protection Authority (DPA) for both the public and private sector
- Also responsible for freedom of information

Source: en.wikipedia.org/ wiki/Schleswig-Holstein Privacy & data protection by design





Overview

- 1. Privacy and Data Protection by Design
- 2. A motivated approach of all relevant disciplines
- 3. Beware of obstacles
- 4. Conclusion

Privacy & data protection by design – cross-over of disciplines



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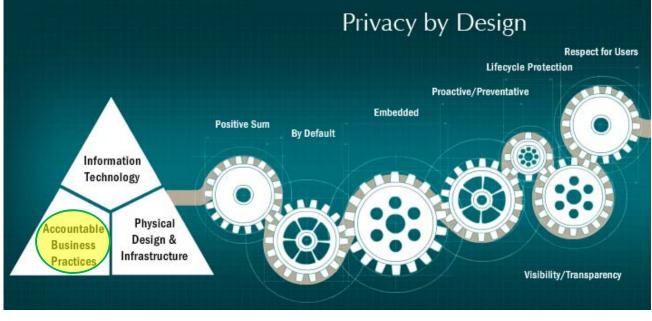
1. Privacy and Data Protection by Design



Source: Colin Kinner



Cavoukian's Privacy by Design



http://privacybydesign.ca/

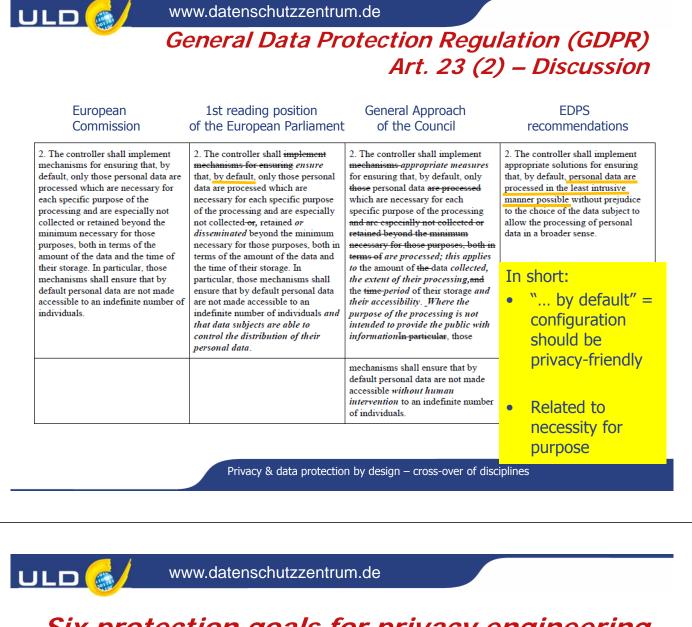
Privacy & data protection by design – cross-over of disciplines

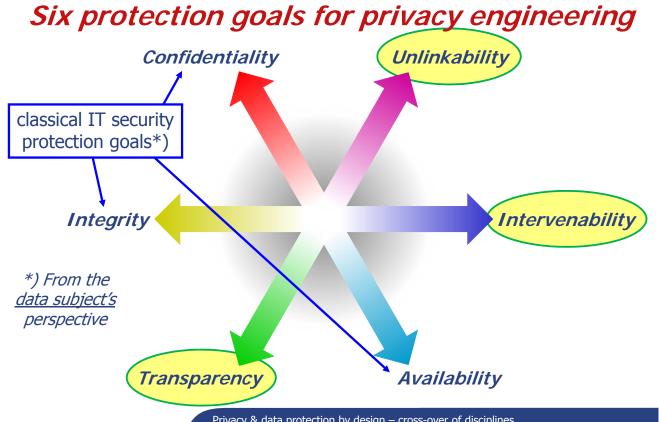


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General Data Protection Regulation (GDPR) Art. 23 (1) – Discussion

Article 23	Article 23	Article 23	Article 23	
Data protection by design and by default	Data protection by design and by default	Data protection by design and by default	Data protection by design and by default	
	Amendment 118			
 Having regard to the state of the art and the cost of implementation, the controller shall, both at the time of the determination of the means for processing and at the time of the processing itself, implement appropriate technical and organisational measures and procedures in such a way that the processing will meet the requirements of this Regulation and ensure the protection of the rights of the data subject. 	 Having regard to the state of the art and the cost of implementation, current technical knowledge, international best practices and the risks represented by the data processing, the controller and the processor, if any, shall, both at the time of the determination of the purposes and means for processing and at the time of the processing itself, implement appropriate and proportionate technical and organisational measures and 	 Having regard to available technology the state of the art and the cost of implementation and taking account of the nature, scope, context and purposes of the processing as well as the likelihood and severity of the risk for rights and freedoms of individuals posed by the processing, the controllers shall, both at the time of the determination of the means for processing at a the time of the processing itself, implement 	 Having regard to the state of the art and the cost of implementation, the controller shall, both at the time of the determination of the purposes and means for processing and at the time of the processing itself, adopt appropriate technical and organisational solutions designed to implement data protection principles in an effective way and to integrate the necessary safeguards into the processing tools. 	
	procedures in such a way that the processing will meet the requirements of this Regulation and ensure the protection of the rights of the data subject, in particular with regard to the principles laid down in Article 5. Data protection by design shall have particular regard to the entire lifecycle management of personal data from collection to processing to	appropriate technical and organisational measures appropriate to the processing activity being carried out and its objectives, such as data minimisation and pseudonymisation, and procedures in such a way that the processing will meet the requirements of this Regulation and ensure protect the protection of the rights of the data	In short: " by desig "Data protection 	ction" = reqs
		subjects.		PR, esp. rights
	deletion, systematically focusing on comprehensive procedural safeguards regarding the accuracy, confidentiality, integrity, physical security and deletion of personal data. Where the controller has carried out a data protection impact assessment pursuant to Article 33, the results shall be taken into account when developing these measures and prototeors.		 of the data s Differences: how, how m 	who, when,





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Protection goal "unlinkability"

The protection goal of

Unlinkability

is defined as the property that privacy-relevant data cannot be linked across domains that are constituted by a common purpose and context.

Reference: Hansen/Jensen/Rost: Protection Goals for Privacy Engineering, Proc. 1st International Workshop on Privacy Engineering, IEEE, 2015 Privacy & data protection by design – cross-over of disciplines



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Protection goal "transparency"

The protection goal of

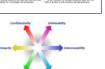


is defined as the property that all privacy-relevant data processing – including the legal, technical, and organisational setting – can be understood and reconstructed at any time.

Reference: Hansen/Jensen/Rost: Protection Goals for Privacy Engineering, Proc. 1st International Workshop on Privacy Engineering, IEEE, 2015 Privacy & data protection by design – cross-over of disciplines







Protection goal "intervenability"

The protection goal of

Intervenability

is defined as the property that intervention is possible concerning all ongoing or planned privacy-relevant data processing.

Reference: Hansen/Jensen/Rost: Protection Goals for Privacy Engineering, Proc. 1st International Workshop on Privacy Engineering, IEEE, 2015 Privacy & data protection by design – cross-over of disciplines



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Protection goals need multiple disciplines – in particular intervenability

- Intervenability is not prominent in privacy engineering literature
- Reasons for that:
 - Hard to formalise and to measure
 - Compared with data minimisation research far less proposed techniques and technologies
 - Can often not be solved within the IT system alone
 - Needs a running system with clear responsibilities (operator, users) – not on prototype level
 - Not one fixed solution, but process-oriented, taking into account the full lifecycle of system evolution

2. A motivated approach of all relevant disciplines – the ideal scenario



Source: Olga Berrios

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Puzzle metaphor

Privacy by Design

- Means involvement of all relevant stakeholders for putting together the puzzle
- Including representatives from
 - The application context
 - Technology / computer science / soft-/hardware engineering
 - (Data protection) law
 - Business studies
 - Psychology
 - Social sciences
 - Ethics ...



Source: rama_miguel





Source: Olga Berrios

Puzzle metaphor

- Think of a puzzle
- The colours represent various disciplines
- The pieces are the methods/tools/ instruments for Privacy by Design

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Multiple disciplines necessary



Source: Ken Teegardin

- Law: lawfulness
- Technology: engineering
- Economy:
 - Organisational processes
 - Business models
- Psychology++: user interaction, organisational culture
- Ethics & social / political sciences ...



Effects for data subjects? Effects for society?

Lawfulness?

- Starting point: task to implement
- ⇒ Purpose
- Which information is necessary?
- How to gather & process the necessary data?
- Protection level "normal" / "high" / "very high"? Risks?
- Consider the protection goals; perspective: data subject
- Choice of measures from "PbD repository"

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Especially lack of cross-

disciplinary understanding!

Nice idea: "PbD repository"



Source: Olga Berrios

But not that easy:

- Dependencies and interrelations
- Side effects
- Usually no naïve plug & play possible

Current status:

- Some attempts
- Not well sorted
- Not well understood



How it could work

the same idea of

How to integrate privacy modules



Legacy systems that are not designed with privacy in mind

- Technology, e.g. architectures, infrastructures
- Business processes
- Law ...

Building in privacy may be difficult / impossible!

Whose task?

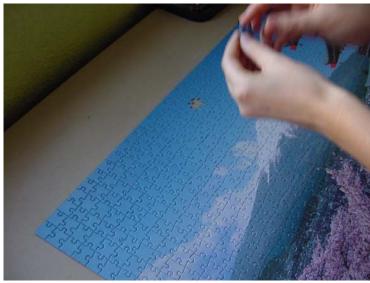
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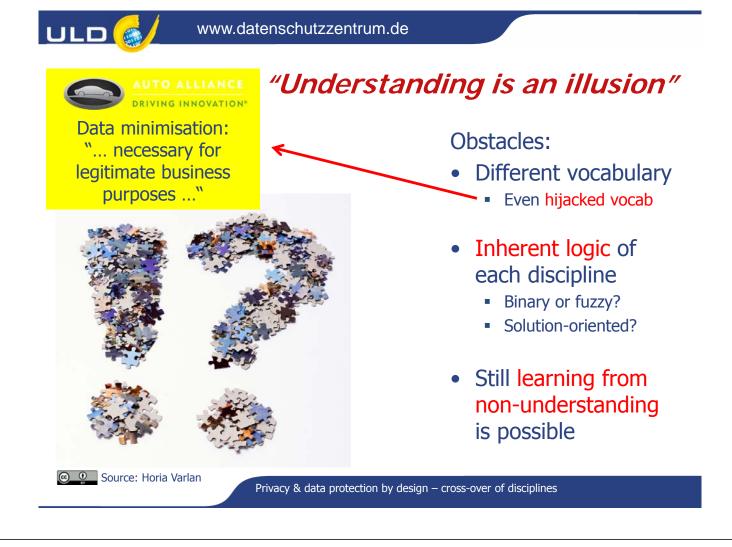
If everything works out



Source: Olga Berrios

However, the puzzle comparison is flawed:

- Several solutions, several pictures
- Not using all pieces
- You may not notice quickly if something goes wrong

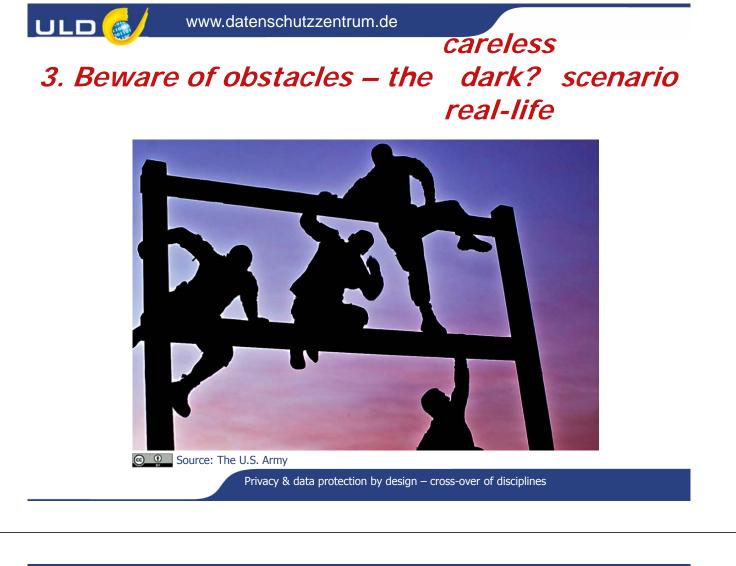


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Data Protection by Design is about

human beings with their rights

Source: Ashtyn Renee





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Challenge 1: Storage by default

- Statements often heard:
 - "For functionality tests or debugging, we need data, much data."
 - "You never know when you are going to need it."
- Problem: if erasure, often no real erasure
- **Problem:** logfiles+temporary files are often not taken into account even in privacy assessment

Challenge 2a: Linkability by default

• Principle in IT:

- Keep accurate data
- Avoidance of redundancies in databases
- Naïve approach: central world-wide database of all subjects/objects + access control / different views
- Problem: difficult for desired separation of powers (and separation of purposes) \Rightarrow risk
- Problem: real life

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pseudonymised Example: 2006: AOL publishes anonymised search engine requests of 3 months

116874 thompson water seal 2006-05-24 11:31:36 http://www.thompsonswaterseal.com 1 116874 express-scripts.com 2006-05-30 07:56:03 1 http://www.express-scripts.com 116874 express-scripts.com 2006-05-30 07:56:03 2 https://member.express-scripts.com/ 116874 knbt 2006-05-31 07:57:28 116874 knbt.com 2006-05-31 08:09:30 http://www.knbt.com а. 117020 naughty thoughts 2006-03-01 08:33:07 2 http://www.naughtythoughts.com 2 117020 really eighteen 2006-03-01 15:49:55 http://www.reallyeighteen.com 2006-03-03 17:57:38 117020 texas penal code 1 http://www.capitol.state.tx.us 117020 hooks texas 2006-03-08 09:47:08 117020 homicide in hooks texas 2006-03-08 09:47:35 117020 homicide in bowle county 2006-03-08 09:48:25 http://www.tdcj.state.tx.us 6 117020 texarkana gazette 2006-03-08 09:50:20 http://www.texarkanagazette.com 1 117020 tdci 2006-03-08 09:52:36 http://www.tdcj.state.tx.us 1 117020 naughty thoughts 2006-03-11 00:04:40 1 http://www.naughtythoughts.com 117020 cupid.com 2006-03-11 00:08:50

Quelle: http://www.lunchoverip.com/2006/08/being user 4417.html





Netflix: Real-life linkability

arXiv.org > cs > arXiv:cs/0610105

Computer Science > Cryptography and Security

How To Break Anonymity of the Netflix Prize Dataset

Arvind Narayanan, Vitaly Shmatikov

(Submitted on 18 Oct 2006 (v1), last revised 22 Nov 2007 (this version, v2))

We present a new class of statistical de-anonymization attacks against high-dimensional micro-data, such as individual preferences, recommendations, transaction records and so on. Our techniques are robust to perturbation in the data and tolerate some mistakes in the adversary's background knowledge.

We apply our de-anonymization methodology to the Netflix Prize dataset, which contains anonymous movie ratings of 500,000 subscribers of Netflix, the world's largest online movie rental service. We demonstrate that an adversary who knows only a little bit about an individual subscriber can <u>easily identify this subscriber's record in the dataset. Using the Internet Movie Database</u> as the source of background knowledge, we successfully identified the Netflix records of known users, uncovering their apparent political preferences and other potentially sensitive information.

Subjects: Cryptography and Security (cs.CR); Databases (cs.DB) Cite as: arXiv:cs/0610105 [cs.CR]

(or arXiv:cs/0610105v2 [cs.CR] for this version)

Submission history

From: Vitaly Shmatikov [view email] [v1] Wed, 18 Oct 2006 06:03:41 GMT (128kb) [v2] Thu, 22 Nov 2007 05:13:06 GMT (313kb,D)

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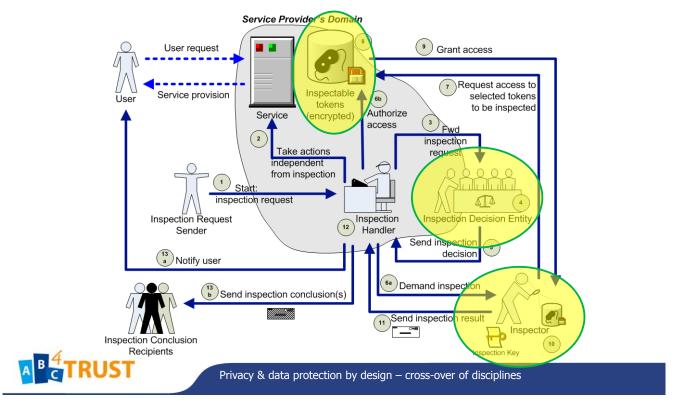
Challenge 2b: Unlinkability is difficult

- Problem: unlinkability often means more effort, more complexity
- Problem: unlinkability by involving additional parties raises questions on the responsibility / liability / accountability for the data processing
 - Joint controllership?
 - Contractual relations?
 - Who is to be addressed ...
 - ... by users?
 - ... by supervisory authorities?
 - ... by police / law enforcement?

Solvable! But at best answers to be provided together with the privacy technology. ULD 🍊

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Example Privacy-ABCs: process for exceptionally revealing identity information needing multiple parties



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Challenge 3: Real identity information by default

- Tradition: Real name – long-established tradition in many cultures: "Whoever doesn't say his/her name, is suspicious"
- Psychology/business:
- **Problem:** Even if pseudonyms are accepted, database design with first name / last name

Privacy & data p

Sign u

Sign up for Facebook Join Facebook to **connect with friends, share photos** and **create your own profile**.

First Name:	
Last Name:	
Your email address:	
Reenter email address:	
New Password:	
I am:	Select Gender: 💌
Birthday:	Day: 🔽 Month: 🔽 Year: 🔽
	Why do I need to provide my date of birth?
	Cigo Un



Challenge 3: Real identity information by default

- Real identity: also in biometrics-related applications
- E.g. in social networks:
 - Photos of oneself or others
 - (Today predominantly self-claimed) height, weight, mood ...
- E.g. in speech assistance systems:
 - Voice

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Siri: iPhone speech assistance in the iCloud



By David Talbot on June 28, 2012

View full report 🔶 🛛 Download 🗣

http://www.technologyreview.com/news/428053/wiping-away-your-siri-fingerprint/

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Voice biometrics in the iCloud

"Trudy Muller, an Apple spokeswoman, confirmed that voice recordings are stored when users ask a spoken question like 'What's the weather now?'

'This data is only used for Siri's operation and to help Siri improve its understanding and recognition,' she said.

Muller added that the company takes privacy 'very seriously,' noting that questions and responses that Siri sends over the Internet are encrypted, and that recordings of your voice are not linked to other information Apple has generated about you.

(Siri does upload your contact list, location, and list of stored songs, though, to help it respond to your requests.)"

http://www.technologyreview.com/news/428053/wiping-away-your-siri-fingerprint/ Privacy & data protection by design - cross-over of disciplines

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Challenge 4: Function creep as feature

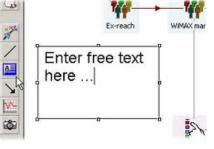
- Principle in IT:
 - Re-use of applications (multi-purpose)
 - Naïve approach: digitising everything, context-spanning identifiers, interoperability, openness for new usage possibilities 46

function creep

World English Dictionary function creep

-n

the gradual widening of the use of a technology or system beyond the purpose for which it was originally intended, esp when this leads to potential invasion of privacy





Challenge 5: Fuzzy or incomplete information by default

- Perspective of lawyers:
 - Don't be too exact if not necessary
 - Don't know too much (otherwise: mala fide)
- Perspective of economists:
 - Don't tell too much without extra benefit
- Sometimes perspective of IT:
 - Documentation is boring
- **Problem:** Sloppy system descriptions, unclear responsibilities
- Problem: Sloppy privacy policies

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Examples: Unclear responsibilities

- Usual excuse when data breaches occur: "not our responsibility", e.g. psychiatric data on the Internet (Nov. 2011): cascading service providers, no or only oral contracts, one-(wo)man software developing company, accounts have never be changed over 10 years
- \Rightarrow Who is to be fined?
- Online investigation software used by the police (2011): "We have only rented the software. We don't know how it works (we are not supposed to know). We have never processed any data."

Example: Sloppy privacy policies

"We may collect and process the following data about you:

Details of your visits to our site including, but not limited to, traffic data, location data, weblogs and other communication data, whether this is required for our own billing purposes or otherwise and the resources that you access; ..."

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. . .

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Example: Sloppy privacy policies

"Collection and Use of Non-Personal Information

We also collect non-personal information – data in a form that does not permit direct association with any specific individual. We may collect, use, transfer, and disclose nonpersonal information for any purpose. The following are some examples of non-personal information that we collect and how we may use it:

We may collect information such as occupation, language, zip code, area code, unique device identifier, location, and the time zone where an Apple product is used so that we can better understand customer behavior and improve our products, services, and advertising.

..."



Challenge 6: Consent

- Legal requirements for consent:
 - Freely given
 - Informed
 - Explicit
 - Specific, not coupled with other usages
 - Withdrawable with effect for the future

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Brandimarte / Acquisti / Loewenstein researching the illusion of control

- Problem: many insufficient implementations, often: tricking the user into giving consent (e.g. pre-checked 🗵)
- \Rightarrow Invalid consent cannot be legal basis for data processing
- \Rightarrow Unlawful data processing

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Example: Shrink-wrap or click-wrap "consent"

"Your Consent

By using this site, you agree with the terms of this Privacy Policy. Whenever you submit information via this site, you consent to the collection, use, and disclosure of that information in accordance with this Privacy Policy." http://www.eurebooks.eu/privacy/

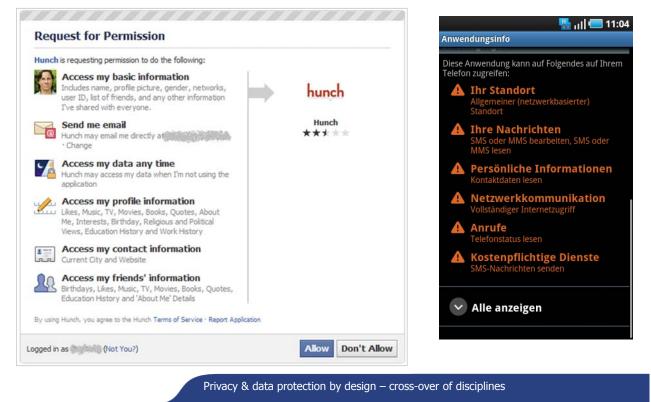
"By using this site you agree to the terms and conditions below. Icemakers reserves all rights to changes without notice."

http://www.icemakers.se/content/legal.aspx

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Example: "Take it or leave it" apps



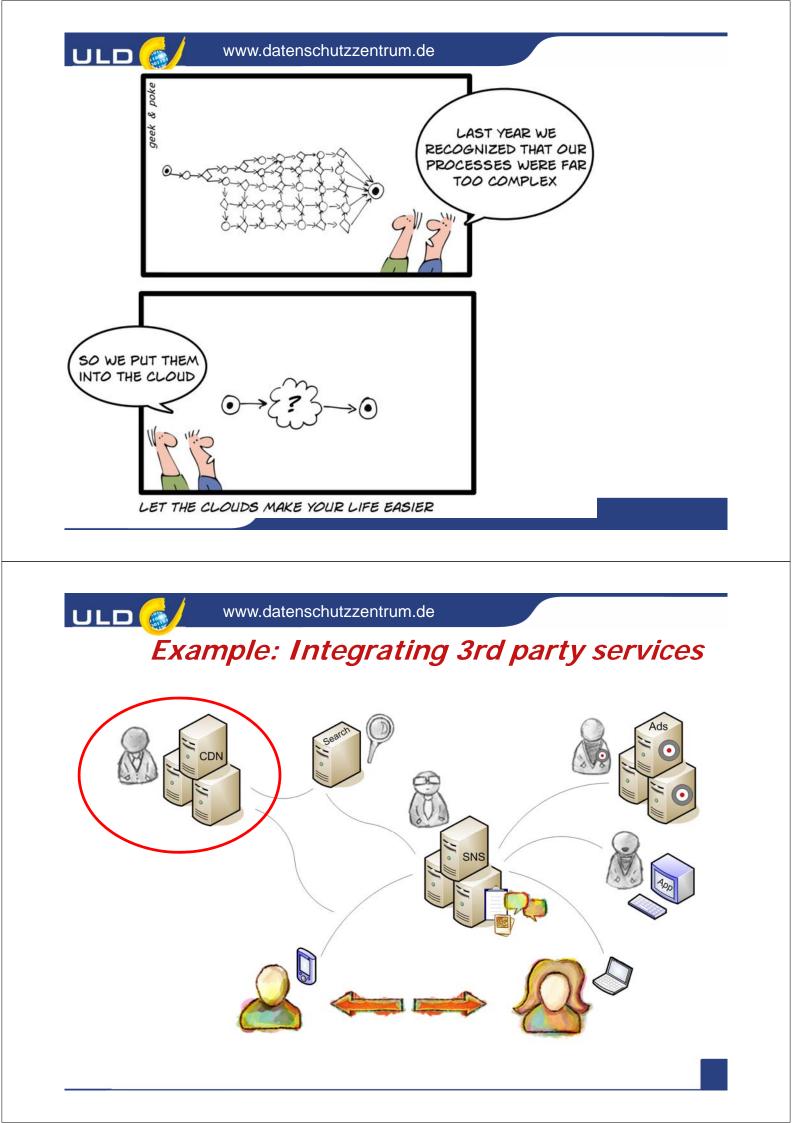


Challenge 7: Integration of 3rd parties & "Location doesn't matter"

- Service providers offer: take-over of all annoying complexity
- Technology offers: dissociation from location
 - Dynamic routing
 - Dynamic assignment of resources in cloud computing (elasticity of ICT systems)
- **Problem:** Location definitely matters in law ...
 - ... and in risk assessment

We self-certify compliance with:







• Is the German Chancellor responsible for potential linkage (by choosing the service and causing the transfer of usage data)?

Risks of (remote) services: Unknown reading / changing access

- **Problem:** Access by governmental authorities, often without informing the data subjects
- Problem: "Indecency check": Filtering/deleting/blocking of content, possible account termination
- **Problem:** How to enforce the user's rights in a foreign jurisdiction?

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Example: Terms and Conditions of a remote cloud

Terms of Service Agreement

3.2. **User Files.** You may be permitted to upload executable files or other content to the CloudXYZ Servers in various forms (collectively, "User Files"). By providing any User Files, you agree that it will not: (i) infringe any copyright, trademark, patent, trade secret, or other proprietary right of any party; (ii) be profane, obscene, indecent or violate any law or regulation; (iii) defame, abuse, harass, threaten or otherwise violate the legal rights (such as rights of privacy and publicity) of others; (iv) incite discrimination, hate or violence towards one person or a group because of their belonging to a race, a religion or a nation, or that insults the victims of crimes against humanity by contesting the existence of those crimes; or (v) restrict or inhibit any other user from using the CloudXYZ Service. We have no obligation to monitor User Files related to the CloudXYZ Service. However, we reserve the right to review User Files and take any action we deem necessary as to such User Files, including but not limited to editing or removing your User Files and/or suspending or terminating your access to CloudXYZ based on your violation of the rules specified here.



Terms of Service Agreement

ULD

3.2. User Files. You may be permitted to upload executable files or other content to the CloudXYZ Servers in various forms (collectively, "User Files"). By providing any User Files, you agree that it will not: (i) infringe any copyright, trademark, patent, trade secret, or other proprietary right of any larty; (ii) be profane, obscene, indecent or violate any law or regulation; (iii) defame, abuse, harass, threaten or otherwise violate the legal rights (such as rights of privacy and publicity) of others; (iv) incite discrimination, hate or violence towards one prison or a group because of their belonging to a race, a religion or a nation, or that insults the victims of crimes against humanity or contesting the existence of those crimes; or (v) restrict or inhibit any other user from using the CloudXYZ Service. We have no ibligation to monitor User Files related to the CloudXYZ Service. However, we reserve the right to review User Files and take any action we deem necessary as to such User Files, including but not limited to editing or removing your User Files and/or suspe ding or terminating your access to CloudXYZ based on your violation of the rules loccified here.

User Files, you agree that it will not: (i) infringe any copyright, trademark / party; (ii) be profane, obscene, indecent or violate any law or regulation;

reserve <u>the right to review</u> User Files and take any action we deem necessary as to such User Files, editing or removing your User Files and/or suspending or terminating your access to CloudXYZ base

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Mistake 8: Little support of intervention

- Problem: Little user control (e.g. on profiling)
- **Problem:** Data subject's rights (access, rectification, erasure) not well implemented
- Problem: Lock-in for many services

This Comment	Can't Be Posted
This comment seem avoid having your co the post in a positiv	s irrelevant or inappropriate and can't be posted. To omments blocked, please make sure they contribute t e way.
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Challenge 9: No lifecycle assessment

- Statements often heard:
 - Let's start!"
 - Be early on the market
 - Create precedents, devil-may-care



- **Problem:** Know the start, but not more no exit strategy
- Problem: "Quick & dirty" may survive
- **Problem:** Long-term thinking and planning is difficult with few incentives

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Challenge 10: Changing assumptions / surplus functionality

- **Problem:** No documented assumptions, no guaranteed conditions
- Problem: No established change management
- How to deal with changes?
- Examples:
 - Statistics from cancer registry with some fuzziness in linkage – how to establish a feedback process?
 - Privacy tools what about the business model? Privacyfriendly payment system? Payment via targeted ads?
 - Obligations from law enforcement / homeland security?



Risks if challenges are not met



- Bits and pieces, but no coherent, comprehensive approach
- Data protection by design only "on paper" to prevent fines?
- Technological progress, but often:
 - Too few incentives
 - Laws are not supporting or even impeding PbD

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Overview

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• Cross-over of disciplines

Is sometimes difficult and time-consuming (but the most efficient way?)

4. Conclusion

- Reasonable for research (even if not valued in the respective disciplines' metrics)
- To some extent necessary for workable solutions!
- The whole is more than the sum of its parts.
- Need for catching up: Big companies & secret services have been using the multidisciplinary approach for a long time – with other objectives in mind.

Privacy & data protection by design – cross-over of disciplines



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One discipline I haven't mentioned: spects journalism

News from 6 Oct, 2015

Whistleblower Edward Snowden hails 'Safe Harbor' data sharing verdict

US whistleblower Edward Snowden has praised the European Court of Justice's decision to invalidate a 15-year-old pact allowing data transfers between the US and EU. White House says it's "disappointed" by the verdict.

http://www.dw.com/en/whistleblower-edward-snowden-hails-safe-harbor-data-sharing-verdict/a-18765062

Sascha Lobo



Die Bewertungen dieses Urteils kamen so meisten Fachleute brauchen würden, die <u>Urteilsbegründung zu analysieren</u>: "Sens "starkes Signal" (Justizminister), "Welt v Diese Einschätzungen mögen richtig seir überraschendes Kopfballtor einer Manns Schlimmer noch, das ganze Datenschutz Abhang statt. Bei stürmischem Wind. Mi einem zweiten aus Granit. "... they are celebrating a surprising headed goal of a team that is 16:1 adrift. Even worse, the privacy tournament takes place on a hillside. In stormy weather. With a ball made from straw. And another one made from granite."

http://www.spiegel.de/netzwelt/web/safe-harbor-zeigt-probleme-werden-nur-verschoben-lobo-kolumne-a-1056594.html



4. Conclusion

- Cross-over of disciplines
 - Is sometimes difficult and time-consuming (but the most efficient way?)
 - Reasonable for research (even if not valued in the respective disciplines' metrics)
 - To some extent necessary for workable solutions!
- The whole is more than the sum of its parts.
- Need for catching up: Big companies & secret services have been using the multidisciplinary approach for a long time with other objectives in mind.
- Publicity & media coverage can be a game changer.

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Thank you for your attention!

Marit Hansen marit.hansen@datenschutzzentrum.de

